

# GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS

**Guidance for the Classification and Registry of Ships    2010    AMENDMENT NO.2**

Notice No.115                      27th December 2010

Resolved by Technical Committee on 6th July 2010

**ClassNK**  
NIPPON KAIJI KYOKAI

Notice No.115 27th December 2010

**AMENDMENT TO THE GUIDANCE FOR THE CLASSIFICATION AND REGISTRY OF SHIPS**

“Guidance for the classification and registry of ships” has been partly amended as follows:

**Amendment 2-1**

**Appendix 1**

**APPLICATION FORMS**

Form 1A DG-BC has been amended as follows.

**ClassNK**

Application for Classification and Statutory Services  
during Construction Form 1A DG-BC (Rev. ~~December~~ January 200911)

**Application for DG certificate and  
IMSBC code fitness certificate**

Date of Classification Survey Application	
Date of Submission	<input type="checkbox"/> Same as above /

Shipbuilder	<input type="checkbox"/> Same as applicant.	Yard/Hull Number	
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**Application for DG certificate** ※ For Japanese flag ships, NK conducts surveys only.

Solid Dangerous Goods in Bulk	Where loaded:	<input type="checkbox"/> Cargo Hold No.:	<input type="checkbox"/> Other (Please specify):
	Class of Dangerous Goods (1) ... Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.	<input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3 <input type="checkbox"/> 5.1 <input type="checkbox"/> 6.1 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo <sup>(1)</sup> :	
Other Dangerous Goods	Where loaded:	<input type="checkbox"/> Weather Deck <input type="checkbox"/> Cargo Hold No.:	<input type="checkbox"/> Other (Please specify):
	Class of Dangerous Goods (1) ... Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.	<input type="checkbox"/> 1.1-1.6 <input type="checkbox"/> 1.4S <input type="checkbox"/> 2.1 <input type="checkbox"/> 2.2 <input type="checkbox"/> 2.3 ( <input type="checkbox"/> (flammable) <input type="checkbox"/> (non-flammable)) <input type="checkbox"/> <del>3.1, 3.2</del> <input type="checkbox"/> <del>3.3</del> <input type="checkbox"/> 3 (All) <input type="checkbox"/> 3 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60°) <input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3 ( <input type="checkbox"/> liquid <input type="checkbox"/> solid) <input type="checkbox"/> 5.1 <input type="checkbox"/> 5.2 <input type="checkbox"/> 6.1 (All) <input type="checkbox"/> 6.1 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and <del>64</del> 60° <input type="checkbox"/> over <del>64</del> 60° <input type="checkbox"/> solid) <input type="checkbox"/> 8 (All) <input type="checkbox"/> 8 (liquid: flashpoint (°C) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and <del>64</del> 60° <input type="checkbox"/> over <del>64</del> 60° <input type="checkbox"/> solid) <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo <sup>(1)</sup> :	

**Application for IMSBC code fitness certificate**

IMSBC code fitness Certificate	<input type="checkbox"/> <del>Appendix</del> Group A cargoes   ( <input type="checkbox"/> with / <input type="checkbox"/> without restrictions on moisture content of cargoes) <input type="checkbox"/> <del>Appendix</del> Group C cargoes <input type="checkbox"/> <del>Appendix</del> Group B cargoes (Please list all cargoes. If insufficient space, please continue on a separate sheet.)
	<div style="border: 1px solid black; height: 100px; width: 100%;"></div>

Remarks :

1. In cases where it is impossible to submit this form at the same time as the application form, please make sure to submit this form before the submission of any drawings for approval (including maker drawings).

Form 3A-1 has been amended as follows.



Application for Classification and Statutory Services for  
an Existing Ship Form 3A-1 (Rev. ~~December 2009~~ January 200911)

Shipbuilder			Yard/Hull Number	
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**Survey and Issuance of Certificates**

※ The following information is not required for Japanese flag ships. Please submit an "APPLICATION FOR ISSUE OF CERTIFICATES (Form 4A)" separately.

<b>Survey for Statutory Certificates and Issuance of Certificates</b> (1)...For Japanese flag ships, NK conducts surveys only. (2)...For Japanese flag ships, the Japanese Government conducts surveys and issues certificate(s).	<table border="1"> <tr> <th>Survey</th> <th>Certificate</th> <th></th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Load Line (Please fill in the boxes of the main particulars of load lines.)</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Passenger Ship Safety<sup>(2)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Cargo Ship Safety Construction</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Cargo Ship Safety Equipment</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Cargo Ship Safety Radio<sup>(2)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Exemption(<input type="checkbox"/> Fixed Fire-extinguishing System)</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Fitness for the Carriage of Dangerous Chemicals in Bulk<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Fitness for the Carriage of Liquefied Gases in Bulk<sup>(1)</sup></td> </tr> </table>	Survey	Certificate		<input type="checkbox"/>	<input type="checkbox"/>	Load Line (Please fill in the boxes of the main particulars of load lines.)	<input type="checkbox"/>	<input type="checkbox"/>	Passenger Ship Safety <sup>(2)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Cargo Ship Safety Construction	<input type="checkbox"/>	<input type="checkbox"/>	Cargo Ship Safety Equipment	<input type="checkbox"/>	<input type="checkbox"/>	Cargo Ship Safety Radio <sup>(2)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Exemption( <input type="checkbox"/> Fixed Fire-extinguishing System)	<input type="checkbox"/>	<input type="checkbox"/>	Fitness for the Carriage of Dangerous Chemicals in Bulk <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Fitness for the Carriage of Liquefied Gases in Bulk <sup>(1)</sup>	<table border="1"> <tr> <th>Survey</th> <th>Certificate</th> <th></th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Fitness for Ship Carrying Dangerous Goods<sup>(1)</sup> (Please fill in the boxes of the main particulars of dangerous goods.)</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Oil Pollution Prevention<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Sewage Pollution Prevention<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Air Pollution Prevention<sup>(1)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Anti-Fouling System</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Grain Loading Booklet<sup>(2)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Other (Please specify):</td> </tr> </table>	Survey	Certificate		<input type="checkbox"/>	<input type="checkbox"/>	Fitness for Ship Carrying Dangerous Goods <sup>(1)</sup> (Please fill in the boxes of the main particulars of dangerous goods.)	<input type="checkbox"/>	<input type="checkbox"/>	Oil Pollution Prevention <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Sewage Pollution Prevention <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Air Pollution Prevention <sup>(1)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Anti-Fouling System	<input type="checkbox"/>	<input type="checkbox"/>	Grain Loading Booklet <sup>(2)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Other (Please specify):
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<b>Tonnage Certificates</b> (3)...For Japanese flag ships, the Japanese Government conducts measurements and issues certificate(s).	<table border="1"> <tr> <th>Measurement</th> <th>Certificate</th> <th></th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>International Tonnage<sup>(3)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>PC/UMS Documentation of total volume<sup>(3)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>Suez Canal<sup>(3)</sup></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td>National Tonnage<sup>(3)</sup> (Applicable rule: )</td> </tr> </table>			Measurement	Certificate		<input type="checkbox"/>	<input type="checkbox"/>	International Tonnage <sup>(3)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	PC/UMS Documentation of total volume <sup>(3)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	Suez Canal <sup>(3)</sup>	<input type="checkbox"/>	<input type="checkbox"/>	National Tonnage <sup>(3)</sup> (Applicable rule: )																																						
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<b>Assignments</b>	<input type="checkbox"/> Freeboard <input type="checkbox"/> Incinerator <sup>(4)</sup> <input type="checkbox"/> Lift for Crew, etc. <sup>(4)</sup> <sup>(4)</sup> : Applies only to Japanese-flagged ships.																																																							
<b>Cargo Gear Certificate, etc.</b> (Please indicate main particulars of cargo gear on separate Form 3A4-1.)	<table border="1"> <tr> <td> <input type="checkbox"/> Cargo Gear Book  <input type="checkbox"/> Cargo Gear Certificate<sup>(5)</sup>              <input type="checkbox"/> Derrick              <input type="checkbox"/> Derrick for Union Purchase              <input type="checkbox"/> Crane              <input type="checkbox"/> Ramp-way, Lift for Cargo              <sup>(5)</sup>Including Loose gear (except ropes &amp; Rope)  <input type="checkbox"/> Other (Please specify):         </td> <td> <input type="checkbox"/> GN   <input type="checkbox"/> JP<sup>(6)</sup>   <input type="checkbox"/> PK<sup>(6)</sup>   <input type="checkbox"/> Other<sup>(6)</sup>;  <input type="checkbox"/> GN   <input type="checkbox"/> JP   <input type="checkbox"/> PK<sup>(6)</sup>   <input type="checkbox"/> Other<sup>(6)</sup>;            GN: Form for NK classed ship            JP: Form for Japanese flag ship            PK: Form for Pakistani service  <sup>(6)</sup> These forms are to be prepared by the applicant.         </td> </tr> </table>			<input type="checkbox"/> Cargo Gear Book <input type="checkbox"/> Cargo Gear Certificate <sup>(5)</sup> <input type="checkbox"/> Derrick <input type="checkbox"/> Derrick for Union Purchase <input type="checkbox"/> Crane <input type="checkbox"/> Ramp-way, Lift for Cargo <sup>(5)</sup> Including Loose gear (except ropes & Rope) <input type="checkbox"/> Other (Please specify):	<input type="checkbox"/> GN <input type="checkbox"/> JP <sup>(6)</sup> <input type="checkbox"/> PK <sup>(6)</sup> <input type="checkbox"/> Other <sup>(6)</sup> ; <input type="checkbox"/> GN <input type="checkbox"/> JP <input type="checkbox"/> PK <sup>(6)</sup> <input type="checkbox"/> Other <sup>(6)</sup> ; GN: Form for NK classed ship JP: Form for Japanese flag ship PK: Form for Pakistani service <sup>(6)</sup> These forms are to be prepared by the applicant.																																																			
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<b>IMSBC code fitness Certificate</b>	<input type="checkbox"/> <del>Appendix</del> Group A cargoes ( <input type="checkbox"/> with / <input type="checkbox"/> without restrictions on moisture content of cargoes ) <input type="checkbox"/> <del>Appendix</del> Group C cargoes <input type="checkbox"/> <del>Appendix</del> Group B cargoes (Please list all cargoes. If insufficient space, please continue on a separate sheet.) <div style="border: 1px solid black; height: 100px; width: 100%;"></div>																																																							
<b>Document of Compliance for a Non-party to a Convention</b>	<input type="checkbox"/> Cargo Ship Safety Construction <input type="checkbox"/> Cargo Ship Safety Equipment <input type="checkbox"/> Cargo Ship Safety Radio <input type="checkbox"/> Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk <input type="checkbox"/> Oil Pollution Prevention <input type="checkbox"/> Other (Please specify):																																																							
<b>Certificate of fitness for national regulations of flag State</b> (Please indicate applicable requirements in the space provided.)																																																								
<b>Attestation of USCG</b>	<input type="checkbox"/> Oil Pollution Prevention (33CFR Part155) <input type="checkbox"/> Liquid Gas Carrier (46CFR Part154) <input type="checkbox"/> Vapor Emission Control System (46CFR Part39) <input type="checkbox"/> Other (Please specify):																																																							
<b>Other Certificate(s)</b>	<input type="checkbox"/> ILO Crew Accommodation <input type="checkbox"/> Attestation of Load Lines <input type="checkbox"/> Attestation of Deadweight <input type="checkbox"/> Certificate of Keel Laying <input type="checkbox"/> Other (Please specify):																																																							

Form 3A-3 has been amended as follows.

**ClassNK**

Application for Classification and Statutory Services for  
an Existing Ship Form 3A-3 (Rev. ~~December 2009~~ January 2011)

Shipbuilder		Yard/Hull Number	
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**MAIN PARTICULARS OF CARRIAGE OF DANGEROUS GOODS** ※ For Japanese flag ships, NK conducts surveys only.

Solid Dangerous Goods in Bulk	Where loaded:	<input type="checkbox"/> Cargo Hold No.: <input type="checkbox"/> Other (Please specify):
	Class of Dangerous Goods (1) ... Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.	<input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3 <input type="checkbox"/> 5.1 <input type="checkbox"/> 6.1 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo <sup>(1)</sup> :
Other Dangerous Goods	Where loaded:	<input type="checkbox"/> Weather Deck <input type="checkbox"/> Cargo Hold No.: <input type="checkbox"/> Other (Please specify):
	Class of Dangerous Goods (1) ... Please complete when restrictions apply to loading of specified cargo in order to meet relevant requirements.	<input type="checkbox"/> 1.1-1.6 <input type="checkbox"/> 1.4S <input type="checkbox"/> 2.1 <input type="checkbox"/> 2.2 <input type="checkbox"/> 2.3 ( <input type="checkbox"/> (flammable) <input type="checkbox"/> (non-flammable)) <input type="checkbox"/> <del>2.1, 2.2</del> <input type="checkbox"/> <del>2.3</del> <input type="checkbox"/> 3 (All) <input type="checkbox"/> 3 (liquid: flashpoint (°C)) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and 60° <input type="checkbox"/> 4.1 <input type="checkbox"/> 4.2 <input type="checkbox"/> 4.3 ( <input type="checkbox"/> liquid <input type="checkbox"/> solid) <input type="checkbox"/> 5.1 <input type="checkbox"/> 5.2 <input type="checkbox"/> 6.1 (All) <input type="checkbox"/> 6.1 (liquid: flashpoint (°C)) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and <del>64</del> 60° <input type="checkbox"/> over <del>64</del> 60° <input type="checkbox"/> solid) <input type="checkbox"/> 8 (All) <input type="checkbox"/> 8 (liquid: flashpoint (°C)) <input type="checkbox"/> below 23° <input type="checkbox"/> between 23° and <del>64</del> 60° <input type="checkbox"/> over <del>64</del> 60° <input type="checkbox"/> solid) <input type="checkbox"/> 9 <input type="checkbox"/> Loading restrictions for Specified Cargo <sup>(1)</sup> :

**EFFECTIVE DATE AND APPLICATION (Amendment 2-1)**

1. The effective date of the amendments is 1 January 2011.

## **Chapter 5 MISCELLANEOUS**

Form 1-1 and Form 2 have been amended as follows.

NIPPON KAIJI KYOKAI		IMO Number
<b>CERTIFICATE OF CLASSIFICATION</b>		
Ship's Name	Classification Number	
Registered Gross Tonnage	<u>Distinctive Number or Letters</u>	
Moulded Dimensions; Length	Breadth	Depth
Owner		
Port of Registry	Flag	When Built
Builders, Where Built		
Main Propulsion Machinery; Description	Number	
<p>THIS IS TO CERTIFY THAT the above ship having been surveyed for classification on _____ and found to be in compliance with the Society's Rules and Regulations, has been assigned a class and entered in the Classification Register with the undermentioned Classification Character(s).</p>		
Classification Character(s):		
Descriptive Note(s):		
<p>This Certificate is valid until _____ subject to continued compliance with the Society's Rules and Regulations.</p>		
Date of Issue	NIPPON KAIJI KYOKAI	
Place of Issue	Tokyo	



NIPPON KAIJI KYOKAI IMO Number  
PROVISIONAL  
CERTIFICATE OF CLASSIFICATION

Ship's Name

Classification Number

Registered Gross Tonnage

Distinctive Number or Letters

Owner

Port of  
Registry

Flag

When Built

Builders,  
Where Built

THIS IS TO CERTIFY THAT the above ship having been surveyed for classification on \_\_\_\_\_ in accordance with the Society's Rules and Regulations and reported by the Surveyors to be fit for classification, has been entered provisionally in the Classification Register with the under-mentioned Classification Character(s).

Classification  
Character(s):

Descriptive Note(s):

This Certificate is valid until \_\_\_\_\_ subject to continued compliance with the Society's Rules and Regulations. However, the certificate shall become invalid upon issuance of the CERTIFICATE OF CLASSIFICATION.

Date of Issue

NIPPON KAIJI KYOKAI

Place of Issue



## EFFECTIVE DATE AND APPLICATION (Amendment 2-2)

1. The effective date of the amendments is 1 April 2011.