
RULES FOR THE AUDIT AND REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

RULES

2010 AMENDMENT NO.1

Rule No.50 20th May 2010

Approved by Board of Directors on 20th May 2010

AMENDMENT TO THE AUDIT AND REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

“Rules for the audit and registration of safety management systems” has been partly amended as follows:

Chapter 2 REGISTRATION OF SAFETY MANAGEMENT SYSTEMS

2.3 Documents and Certificates

Paragraph 2.3.2 has been amended as follows.

2.3.2 DOC and SMC

1 The period of validity of a DOC and a SMC is to be five years from the date of completion of each respective Initial Audit prescribed in **3.3.1** or the date of completion of each previous Renewal Audit prescribed in **3.3.3**.

2 Notwithstanding the requirements set forth in **-1** above, when the Renewal Audit is completed within 3 *months* before the expiry date of the existing DOC or SMC, the new DOC or new SMC is to be valid from the date of completion of the Renewal Audit for a period of five years from the date of expiry of the existing DOC or SMC.

3 Notwithstanding the requirements set forth in **-1** above, when the Renewal Audit is completed after the expiry date of the existing SMC, the new SMC is to be valid from the date of completion of the Renewal Audit to a date not exceeding five years from the date of expiry of the existing SMC.

4 If a ship is not in the port in which the Renewal Audit is to be carried out at the time when its SMC expires, the Administration may extend the period of validity of the SMC. However, this extension is to be granted only for the purpose of allowing the ship to complete its voyage to the port in which the Renewal Audit is to be carried out, and then only in cases where it appears proper and reasonable to do so. No SMC is to be extended for a period of longer than 3 *months*, and the ship to which such an extension is granted is not, upon its arrival at the port where the Renewal Audit is to be carried out, to be entitled by virtue of such an extension to leave that port without having a new SMC. When the Renewal Audit is completed, the new SMC is to be valid to a date not exceeding five years from the expiry date of the existing SMC before the extension was granted.

Chapter 5 AUDIT OF SHIPBOARD SMS

5.5 Ships laid-up

Sub-paragraph -2 has been amended as follows.

2 When the ships laid-up are about to be re-entering service, the following audits are to be carried out.

- (1) The ships which have valid Interim SMC before lay-up
 - (a) In the case where the laid-up period is within 3 *months*, any audits are not required. However, in the case where Interim SMC has become invalid while the ship was laid-up, the Audit for Issuing an Interim SMC is to be carried out.
 - (b) In the case where the lay-up period is more than 3 *months*, the Audit for Issuing an Interim SMC is to be carried out.
- (2) The ships which have valid SMC before they become laid-up
 - (a) In the case where the lay-up period is within ~~36~~ *months* and the due dates for Periodical Audits has not transpired while the ship was laid-up, in principal, any audits are not required and the kind of Periodical Audit and the due date shall be kept as assigned before.
 - (b) In the case where the lay-up period is within ~~36~~ *months* and the due date for Periodical Audit has transpired while the ship was laid-up, in principal, the Periodical Audit whose due date has transpired is to be carried out. However, in the case where that kind of Periodical Audit is Intermediate Audit, either Intermediate Audit or Renewal Audit shall be carried out. Then, in the case where the Intermediate Audit is carried out, next audit shall be Renewal Audit and the due date shall be kept as assigned before.
 - ~~(c) In the case where the lay-up period is more than 3 months and within 12 months, and the due date for Periodical Audit has not transpired while the ship was laid-up, Additional Audit corresponding Intermediate Audit is to be carried out. In addition, in principal, the kind of Periodical Audit and the due date shall be kept as assigned before.~~
 - ~~(d) In the case where the lay-up period is more than 3 months and within 12 months, and the due date for Periodical Audit has transpired while the ship was laid-up, in principal, the Periodical Audit whose due date has transpired is to be carried out. However, in the case where that kind of Periodical Audit is Intermediate Audit, either Intermediate Audit or Renewal Audit shall be carried out. Then, in the case where the Intermediate Audit is carried out, next audit shall be Renewal Audit and the due date shall be kept as assigned before.~~
 - (e) In the case where the lay-up period is more than ~~12~~ *6 months*, in principal, the Audit for Issuing an Interim SMC is to be carried out.

EFFECTIVE DATE AND APPLICATION

1. The effective date of the amendments is 1 July 2010.